

*EFW*

PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/629,331
	Filing Date	July 29, 2003
	First Named Inventor	John J. Roesse
	Art Unit	2183
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	ENI-048

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	copies of five NPL references included with IDS; return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<b>Remarks</b>	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Verrill Dana, LLP		
Signature	<i>Chris A. Caseiro</i>		
Printed name	Chris A. Caseiro		
Date	August 31, 2005	Reg. No.	34,304

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Chris A. Caseiro</i>		
Typed or printed name	Chris A. Caseiro	Date	August 31, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. John J. Roesse et al.  
of:

Serial 10/629,331

No:

Filed: 07/29/2003

Entitled: SYSTEM AND METHOD FOR DYNAMIC  
NETWORK POLICY MANAGEMENT

Examiner:

Art Unit: 2183

Attorney Dkt: ENI-048

Paper No.: 2

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted in accordance with the duty of disclosure under 37 C.F.R. 1.56.

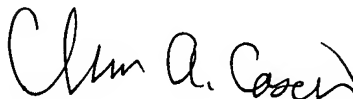
USPTO Form SB-08B (one sheet) is included herewith. Pursuant to current rules, copies of each of the Non-Patent Literature documents cited are included herewith.

Pursuant to 37 C.F.R. § 1.97, Applicants state that each item of information contained in this Information Disclosure Statement has been cited prior to receipt of the first office action therefore, no fee is required.

Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

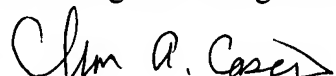
Respectfully submitted,



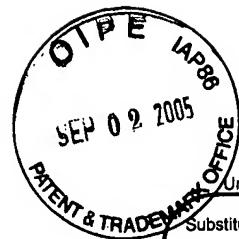
Chris A. Caseiro, Reg. No. 34,304  
Verrill Dana, LLP  
One Monument Square  
Portland, ME 04101  
Telephone: (207) 253-4530  
Fax: (207) 774-7499

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 31, 2005. I hereby request that this correspondence be assigned a filing date of August 31, 2005.

Signature: 

Typed or printed name: Chris A. Caseiro



Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/629,331
Filing Date	July 29, 2003
First Named Inventor	John J. Roese
Art Unit	2183
Examiner Name	
Attorney Docket Number	ENI-048

Sheet	1	of	1
-------	---	----	---

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ENTERASYS NETWORKS, Enterasys User Personalized Network, Enterasys white paper, Lit. #9012394, 2001, 17 pp, US	
		POSTEL, J., REYNOLDS, J., File Transport Protocol, IETF Network Working Group RFC 959, October 1985, 69 pp, The Internet Society, US	
		YAVATKAR, R., PENDARAKIS, D., GUERIN, R., A Framework For Policy-Based Admission Control, IETF Network Working Group RFC 2753, January 2000, 20 pp, The Internet Society, US	
		MOORE, B., et al., Policy Core Information Model Version 1 Specification, IETF Network Working Group RFC 3060, February 2001, 100 pp, The Internet Society, US	
		WESTERINEN, A., et al., Terminology For Policy-Based Management, IETF Network Working Group RFC 3198, November 2001, 21 pp, The Internet Society, US	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.